



SMIFS CAPITAL MARKETS LIMITED

January 04, 2023

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on December 31, 2022 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

Ayanti Sen
(Ayanti Sen)
Company Secretary-cum-Compliance Officer



Encl: a/a

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General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table 1 - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (V)+(VI)+(VII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	
								No of Voting (XIV) Rights				
								Class eg: X	Class eg:Y	Total		
(A)	Promoter & Promoter Group	12	2534051			2534051	45.37	25,34,051.00		2534051.00	45.37	
(B)	Public	1324	3050949			3050949	54.63	3050949.00		3050949.00	54.63	
(C)	Non Promoter- Non Public											
(C1)	Shares underlying Dfs											
(C2)	Shares held by Employee Trusts											
	Total	1336	5585000			5585000	100	5585000.00		5585000.00	100.00	

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No. of Shares Underlying Outstanding Warrants (X)	No. of Shares Underlying Outstanding convertible securities and No. of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (XII) = (VII) + (X) As a % of (A+B+C2)	Number of Locked In shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
								Shareholding (No. of shares) under		
			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
		45.37					2534051			
		54.63					3005709	0	0	0
		100					5539760	0	0	0

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VI) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	
								No of Voting (XIV) Rights				Total as a % of Total Voting rights
								Class eg: X	Class eg: Y	Total		
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian											
(a)	Individuals/Hindu undivided Family	9	784350			784350	14.04	784350.00		784350	14.04	
(b)	Central Government/ State Government(s)											
(c)	Financial Institutions/ Banks											
(d)	Any Other (specify)	3	1749701			1749701	31.33	1749701.00		1749701	31.33	
	Sub-Total (A)(1)	12	2534051			2534051	45.37	2534051.00		2534051	45.37	
(2)	Foreign											
(a)	Individuals (NonResident Individuals/ Foreign Individuals)											
(b)	Government											
(c)	Institutions											
(d)	Foreign Portfolio Investor											
(e)	Any Other (specify)											
	Sub-Total (A)(2)											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)												
		12	2534051			2534051	45.37	2534051.00		2534051	45.37	

Details of Shares which remain unclaimed for Promoter & Promoter Group

Table III - Statement showing shareholding pattern of the Public shareholder											
Note: Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.											
Institutions (Domestic)											
(1)	Mutual Funds										
(b)	Venture Capital Funds										
(c)	Alternate Investment Funds										
(d)	Banks										
(e)	Insurance Companies										
(f)	Provident Funds/ Pension Funds										
(g)	Asset Reconstruction Companies										
(h)	Sovereign Wealth Funds										
(i)	NBFCs registered with RBI										
(j)	Other Financial Institutions										
(k)	Any Other (specify)										
Sub-Total (B)(1)											
Institutions (Foreign)											
(a)	Foreign Direct Investment										
(b)	Foreign Venture Capital Investors										
(c)	Sovereign Wealth Funds										
(d)	Foreign Portfolio Investors Category I	1	550000			550000	9.85	550000		550000	9.85
(e)	Foreign Portfolio Investors Category II										
(f)	Overseas Depositories (holding DRs) (balancing figure)										
(g)	Any Other (specify)										
Sub-Total (B)(2)											
Central Government / State Government(s)											
(a)	Central Government / President of India										
(b)	State Government / Governor										
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a Promoter										

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Serial No.	Category	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Vot
A1(d)	Any Other (specify)							No of Vot Rig
								Class eg: X
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE	AABCM5680Q	1	1115700	1115700	19.98	1115700.00
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	357251	6.40	357251.00
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE	AADC58612C	1	276750	276750	4.96	276750.00
Total				3	1749701	1749701	31.33	1749701.00

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ing Rights held in each class of securities		Total as a % of Total Voting rights	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
ing (XIV) his	(IX)					
Total						
1115700.00	19.98	19.98	19.98	1115700		Promoter
357251.00	6.40	6.40	6.40	357251		Promoter
276750.00	4.96	4.96	4.96	276750		Promoter
1749701.00	31.33	31.33	31.33	1749701		

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
						No of Voting (XIV) Rights		Total	
						Class eg: X			
B2(d)	Foreign Portfolio Investors Category								
Disclosure of shareholder holding more than 1% of total number of shares									
1	THE INDIAMAN FUND (MAURITIUS) LIMITED Click here to go back	AAACT4855D	550000	550000	9.85	550000.00	550000.00	550000.00	9.85
		Total	550000	550000	9.85	550000.00	550000.00	550000.00	9.85

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Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
			Shareholding (No. of shares) under		
			Sub-category (i)	Sub-category (ii)	Sub-category (iii)
9.85	550000		0	0	0
9.85	550000		0	0	0

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		Home		Validate		Number of Voting Rights held in each class (IX)	
Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of Voting (XIV) Rights	
						Class eg: X	Total
B4(f)		Investor Education and Protection Fund (IEPF)					
Disclosure of shareholder holding more than 1% of total number of shares							
		Add		Delete			
1	INVESTOR EDUCATION AND PROTECTION FUND	ZZZZ9999Z	114564	114564	2.05	114564.00	114564.00
		Click here to go back		Total	114564	114564.00	114564.00

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ss of securities	Shareholding , as a % of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
Total as a % of Total Voting rights				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
2.05	2.05	114564	1		0	0
2.05	2.05	114564			0	0

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class (ix)	
						No of Voting (xiv) Rights	Class eg: X
B4(h)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
	Disclosure of shareholder holding more than 1% of total number of shares						
1	MINAL BHARAT PATEL	AACPP5126G	323339	323339	5.79	323339.00	323339.00
2	SANGEETHA S	AJPS3739F	182590	182590	3.27	182590.00	182590.00
3	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	161511	161511	2.89	161511.00	161511.00
4	SN RAJAN	ANW/PS3969F	71011	71011	1.27	71011.00	71011.00
	Click here to go back	Total	738451	738451	13.22	738451.00	738451.00

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ss of securities	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
Total as a % of Total Voting rights						
5.79	5.79	323339		0	0	0
3.27	3.27	182590		0	0	0
2.89	2.89	161511		0	0	0
1.27	1.27	71011		0	0	0
13.22	13.22	738451		0	0	0

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B4(i)	Non Resident Indians (NRIs)						
						Add	Delete
Disclosure of shareholder holding more than 1% of total number of shares							
Click here to go back						Total	

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
						No of Voting (XIV) Rights		Total	
						Class eg: X			
B4(I) Bodies Corporate									
AddDelete									
Disclosure of shareholder holding more than 1% of total number of shares									
1	Astra Merchandising Private Limited	AAACA6338G	269509	269509	4.83	269509.00	269509.00	4.83	
2	Antriksh Vyapaar Limited	AACCA5697E	240408	240408	4.30	240408.00	240408.00	4.30	
3	Maya Trade Links Limited	AAACM2192G	104862	104862	1.88	104862.00	104862.00	1.88	
4	Bishnauth Investments Limited	AABCM7767N	74000	74000	1.32	74000.00	74000.00	1.32	
Total			688779	688779	12.33	688779.00	688779.00	12.33	
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Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
			Sub-category (i)	Sub-category (ii)	Sub-category (iii)
4.83	269509		0	0	0
4.30	240408		0	0	0
1.88	104862		0	0	0
1.32	74000		0	0	0
12.33	688779		0	0	0

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Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)
B4(m)	Any Other (specify)						
1	HUF	Category			40	39734	39734
2	Clearing Members	Category			3	14300	14300
Click here to go back					Total	54034	54034

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Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No of Voting (XIV) Rights		Total as a % of Total Voting rights				Shareholding (No. of shares) under		
	Class eg: X	Total							
									Sub-category (I)
0.71	39734	39734	0.71	0.71	39734		0	0	0
0.26	14300	14300	0.26	0.26	14300		0	0	0
0.97	54034.00	54034.00	0.97	0.97	54034		0	0	0

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Sr. No.	Details of the SBO				Details of the registered owner				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality
significant beneficial owners									
AddDelete									
1	KAYAN	AGGPK0892G		India		WACKERTICH CONSULTANCY	AABCM5680Q		India

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Nationality (Applicable in case of Any other is selected)	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				Date of creation / acquisition of significant beneficial interest
	Whether by virtue of:				
	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	
		19.98		No	No
					30-01-1995

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Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0.00	0.00
As on the end of previous 1st quarter	0.00	0.00
As on the end of previous 2nd quarter	0.00	0.00
As on the end of previous 3rd quarter	0.00	0.00
As on the end of previous 4th quarter	0.00	0.00

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

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